

SPINAL FUSION DEVICEFIELD OF THE INVENTION

This invention relates to the field of orthopedic and neuro- surgery and, more particularly, to implants to be placed between vertebrae in the spine.

BACKGROUND OF THE INVENTION

Spinal stabilization is one approach to alleviating chronic back pain caused by displaced disk material or excessive movement of individual vertebrae. Conventional stabilization techniques include fusing two or more vertebrae together to circumvent or immobilize the area of excessive movement. Normally, the vertebral disk material which separates the vertebrae is removed and bone graft material is inserted in the space for interbody fusion. In addition to or, in place of, the bone graft material, a spinal implant may be inserted in the intervertebral space.

The conventional surgical approach for stabilization has been posteriorly for ease of access to the spine and to avoid interfering with internal organs and tissue. Usually the implant site is prepared to maintain natural lordosis and to accept a certain sized implant within certain pressure limits. This requires considerable time and skill by the surgeon.

1 DESCRIPTION OF THE PRIOR ART

2 U. S. Patent No. 6,562,074 to Gerber et al issued May 13,
3 2003 discloses a spinal insert which can be manipulated to
4 adjust the height of the implant through links connected to the
5 upper and lower plates.

6 U.S. Patent No. 6,120,506 issued September 19, 2000 to
7 Kohrs et al discloses a lordotic implant and a tap for use in
8 preparing the vertebrae. The implant is designed to be
9 inserted between the non-parallel end plates of adjacent
10 vertebrae and maintain the natural lordotic angle of the spine.
11 This is done through the use of a threaded tapered plug
12 inserted in a tapped hole in the direction required by the
13 lordosis of the spine. The implant is hollow and has radial
14 apertures for accommodating bone graft material.

15 U.S. Patent No. 6,015,436 issued January 18, 2000 to
16 Shoenhoeffter discloses a tubular spinal implant. The implant
17 is hollow and has radial apertures for interbody fusion through
18 bone growth material. The device is placed between adjacent
19 vertebrae with the opposite ends of the tube contacting the
20 opposing vertebrae. The opposite ends are threaded together to
21 form the hollow tube.

22

23

1 SUMMARY OF THE INVENTION

2 The spinal fusion device is particularly suited for
3 posterior lumbar implantation. The implant has a main body
4 having upper and a lower sections with mating sidewalls
5 relatively movable along an inclined ramp. The sections form
6 a hollow cube-shaped structure with a common open side. The
7 main body is inserted in an extended thin mode between adjacent
8 vertebrae and a distractor is inserted through the common open
9 side. The distractor is connected to one of the sections by a
10 link which causes one section to move along the inclined ramp
11 of the other section for increasing the height of the implant
12 and engaging the opposing surfaces of adjacent vertebrae. The
13 adjacent vertebrae are forced apart as the height of the
14 implant increases. The spinal fusion device may be used
15 unilaterally or bilaterally.

16 Accordingly, it is an objective of the instant invention
17 to teach a posterior surgical approach for placement of a n
18 adjustable spinal implant for interbody fusion allowing the
19 implant to be inserted through a small incision and increased
20 in size *in situ*.

21 It is another objective of the instant invention to teach
22 a spinal implant which allows the surgeon to provide for
23 lordosis intraoperatively and to distract through the implant.

24 It is a further objective of the instant invention to

1 teach a spinal implant having increased contact area in the
2 disk space.

3 It is yet another objective of the instant invention to
4 teach an implant facilitating interbody fusion through bone
5 graft or an ingrowth-type implant.

6 Other objectives and advantages of this invention will
7 become apparent from the following description taken in
8 conjunction with the accompanying drawings wherein are set
9 forth, by way of illustration and example, certain embodiments
10 of this invention. The drawings constitute a part of this
11 specification and include exemplary embodiments of the present
12 invention and illustrate various objects and features thereof.

13

14 **BRIEF DESCRIPTION OF THE DRAWINGS**

15 Fig. 1 is a perspective of the spinal fusion implant of
16 this invention;

17 Fig. 2 is an exploded perspective of the spinal fusion
18 device;

19 Fig. 3 is a side view of the implant in the insertion
20 mode; and

21 Fig. 4 is a side view of the implant in the increased
22 height mode.

23

24

1 **DETAILED DESCRIPTION OF THE INVENTION**

2 The spinal fusion device 10 is inserted in the
3 intervertebral space in the insertion mode, shown in Fig. 3, to
4 replace damaged, missing or excised disk material. This
5 extended position allows the implant to be inserted in a small
6 intervertebral space without the necessity of excising
7 structurally sound bone. The upper section 11 has a top
8 surface 12 for engaging the end plate of a vertebra and the
9 lower section 13 has a bottom surface 14 for engaging the end
10 plate of an adjacent vertebra. The top surface 12 and the
11 bottom surface 14 are planar to provide a large contact area
12 with each vertebra. Each contact surface has a roughened
13 finish to provide better purchase on the end plates of the
14 vertebrae. As shown, the top and bottom surfaces have a series
15 of lands and grooves 15, 16, 17 and 18 though other stippled
16 treatment may be employed. Of course, the device may be
17 rotated about its longitudinal axis 180 degrees so that the
18 upper section becomes the lower section and *vice versa*.

19 The device 10 has two extreme positions and is adjustable
20 infinitely between those positions, eg., in the insertion mode
21 the extended position of the structure has a height 20
22 approximately the same as the height of one of the sections and
23 a length approximately twice the length of one section, as
24 shown in Fig. 1. In the increased height mode, the expanded

position, shown in Fig. 1 and Fig. 4, the height 19 is the sum of the height of the individual sections and the length is approximately the same as the length of a section.

The fusion device 10 may be made of conventional materials used for surgical implants, such as stainless steel and its many different alloys, titanium, and any other metal with the requisite strength and biologically inert properties. Polymeric materials with adequate strength and biological properties may also be used in the construction of the fusion device.

The upper section 11 is formed with an end wall 21 a top surface 12 and depending sidewalls 22 and 23. The sidewalls terminate in an inclined plane 24 which extends from the end wall 21 to the top surface 12. In one embodiment, the inclined plane 24 has a stop 50 formed as a groove 52 in one plane and a corresponding ridge 51 in the other plane. The stop gives a tactile signal to the surgeon that the sections are in the optimal position. The top surface 12 has a large aperture 25 therethrough to provide for bone ingrowth. The top surface 12 has a narrower flange 26 extending beyond the sidewalls 22 and 23. The flange 26 engages the end wall of the lower section 13 to guide the relative movement of the sections maintaining the upstanding sidewalls and the depending sidewalls in alignment. The end wall 21 has a bore 27 with internal threads 28 to

1 cooperate with the threads 41 on the link 40. The bore may be
2 a blind bore or extend through the end wall 21.

3 The bottom surface 14 of the lower section 13 has a large
4 aperture 30, as shown in Fig. 2, to facilitate bone ingrowth
5 after implantation. The lower section 13 is a U-shaped channel
6 with opposed upstanding sidewalls 31 and 32 projecting from the
7 bottom surface. The side walls 31 and 32 have a short end 33
8 and a long end 34. The sidewalls 31 and 32 terminate in an
9 inclined plane extending from the short end 33 toward the long
10 end 34. The upstanding walls each have a vertical extension 35
11 and 36 beyond the end of the inclined plane. A reduced
12 thickness 37 is formed in the vertical extensions 35 and 36 to
13 accommodate the flange 26 as the upper and lower sections move
14 relative to each other. The movement of the flange through the
15 reduced thickness contributes to the alignment of the upper and
16 lower sections as they move relative to each other.

17 The ends of the inclined planes of the upstanding and
18 depending walls are smooth ramps to provide ease in the
19 relative sliding contact between the surfaces. Other
20 embodiments of the complementary surfaces may provide
21 additional or substitute guidance to maintain the upper and
22 lower sections in alignment during movement of the contacting
23 surfaces of the inclined planes, such as, the ends of the
24 inclined planes may be sloped across the thickness of the side

1 walls or a stepped ramp may be used.

2 A distractor 42 is shown in Fig. 2. The distractor 42 is
3 dimensioned to be inserted into the interior cavity between the
4 upper section and the lower section of the spinal infusion
5 device 10, as shown in Fig. 1. A plug 43 is dimensioned to be
6 inserted and closes the opening formed in the lower section by
7 the upstanding sidewalls. The upper surface of the plug has an
8 inclined ramp 44 on each side to accommodate the inclined plane
9 24 of the depending walls 22 and 23 of the upper section. The
10 plug 43 has a larger circumferential end plate 45 dimensioned
11 to extend to the outer periphery of the upper and lower
12 sections to make a smooth outer surface. The upper portion 46
13 of the end plate 45 engages the end of the flange 26 to act as
14 a stop for relative movement. Extending from the end plug into
15 the cavity of the hollow structure 10 is the body 47 of the
16 distractor 42. The body is connected to the end plug by two
17 rails 48 and 49 leaving the central area 60 open for bone
18 ingrowth. The end plug 43 and the body 47 each have a bore 61
19 and 62, respectively. These bores are aligned with the bore 27
20 in the end wall of the upper section 11, as shown in Fig. 1.
21 The bore 61 has a larger countersunk bore 63 in the end plate
22 45.

23 As shown in Fig. 3, the spinal fusion device is inserted
24 in the disk space between adjacent vertebrae in the extended

1 position with the top surface in contact with the end plate of
2 one vertebra and the bottom surface in contact with the end
3 plate of an adjacent vertebra. A link 40 traverses the bores
4 61, 62 and is threaded in bore 27. The surgeon turns the link
5 40 causing the upper and lower sections to move along the
6 complementary inclined plane to shorten the fusion device and
7 increase the distance between the end plates of the adjacent
8 vertebrae. The adjustment may continue until the flange 26
9 contacts the end plate 46. At this time, the link may be
10 removed and replaced by a bolt of sufficient length to tighten
11 the upper and lower sections together. While a threaded link
12 and bore are illustrated for adjusting the device, other
13 mechanisms may be used for generating the force to move the
14 sections. For example, a pneumatic, hydraulic or mechanical
15 puller may be used against the end plate to apply linear force
16 to the link rather than torque. And the end wall may have a
17 nipple rather than a bore.

18 A number of embodiments of the present invention have been
19 described. Nevertheless, it will be understood that various
20 modifications may be made without departing from the spirit and
21 scope of the invention. Accordingly, it is to be understood
22 that the invention is not to be limited by the specific
23 illustrated embodiment but only by the scope of the appended
24 claims.

1

2